

FORM PTO-139
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

APPLICANT'S DOCKET NUMBER

04605/HG

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/508,375

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP03/11478

September 9, 2003

September 13, 2002

TITLE OF INVENTION METHOD AND APPARATUS FOR PRODUCING HOT-DIP PLATED METAL STRIP

APPLICANT(S) FOR DO/EO/US Hideyuki TAKAHASHI, Yoshikazu SUZUKI, Munehiro ISHIOKA, Fumio FUJITA, Yoichi MIYAKAWA and Kazuhisa KABEYA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (3), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

Express Mail Mailing Label
No.: EV 512 419 283 US
Date of Deposit: Oct. 19, 2004

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

10/508,375

CT/JEP03/11478

04605/HG

21. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =		x \$18.00	\$
Independent claims	- 3 =		x \$86.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$320.00	\$

TOTAL OF ABOVE CALCULATIONS =

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

Amount to be
refunded: \$
charged: \$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 06-1378. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.
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New York, NY 10017-2023

Tel. No. (212) 319-4900
Fax No. (212) 319-5101

SIGNATURE

Marshall J. Chick
NAME

26,853
REGISTRATION NUMBER

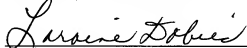
Date: October 19, 2004

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appl. No. : 10/508,375
Applicant(s): Hideyuki TAKAHASHI et al
Deposited : September 17, 2004
TC/A.U. :
Examiner :
Docket No. : 04605/HG
For : METHOD AND APPARATUS FOR
PRODUCING HOT-DIP PLATED
METAL STRIP
Customer No.: 01933
Confirm. No.:

Express Mail Mailing Label
No.: EV 512 419 283 US
Date of Deposit: October 19, 2004

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Laraine Dobies

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Annexed hereto are copies of publications listed in the above-identified application.

The relevance of these documents is discussed on pages 3, 19, 20, 32 and 34 of the specification.

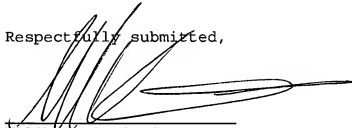
These publications are also listed in the enclosed Form PTO/SB/08A. It is requested that the publications be considered with respect to the patentability of the present invention and that an initialed copy of the enclosed Form PTO/SB/08A be returned to applicants to indicate that such publications have been considered and made "of record."

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /PC/

This IDS is being filed within three months of the filing date of this application. Furthermore, a first action on the merits has not yet been received in this application. Therefore, no fee is required. However, if it is determined that a fee is required, authorization is given to charge any additional fees to Deposit Account No. 06-1378.

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& Chick, P.C.
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MJC/ld

Respectfully submitted,



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Reg. No. 26,853

Please type sign (+) inside this box →

+

PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number		200508,375	
				Deposited		September 17, 2004	
				First Named Inventor		Hideyuki TAKADASHI	
				Group Art Unit			
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number		04605/HG	

U.S. PATENT DOCUMENTS

Exam. Inits ^a	Cite No ²	Document Number	Kind Code ³	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

FOREIGN PATENT DOCUMENTS

Exam. Inits ^a	Cite No ²	Offc ²	Document Number ⁴	Kind Code ³	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
			JP 2002-339051	A	NKK Corp.	11-27-2002		*
			JP 7-94704	B2		10-11-1995		**
			JP 10-130801	A	Sumitomo Metal Ind	05-19-1998		*
			JP 2000-204460	A	Kawasaki Steel Corp	07-25-2000		*

Examiner Signature	/Pinkal Chokshi/	Date Considered	03/05/2008
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^a EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ See kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Place a check here if English translation is attached.

* English Language Abstract Only

**Claim 1 Only

DATE MAILED: October 19, 2004

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /PC/